## **FEE TRANSMITTAL** for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

/ <b>@</b> \	18
(\$)	10

	Complete if Knowi	, .
Application Number	09/801,130	
Filing Date	March 7, 2001	
First Named Inventor	Bonabeau	V CC
Examiner Name	Irshadullah, M.	70 23 0
Art Unit	3623	1/2 <0/12
Attorney Docket No.	ICO-007.01	30

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ME	THOD OF PAYMENT (check al	that apply)				FEE C	ALCULATION (continued)	Un
☐ Check ☐ C	redit card	ther None	3. AD	DITIO	NAL FE	ES		0
M. Donneit Asses	Order		<u>Large</u>	Entity	Small E	ntity		
☑ Deposit Accou Deposit	nt:		Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Account	06=1448. Reference: ICO-007.	01	1051	130	2051	65	Surcharge - late filing fee or oath	
Number			1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
Deposit			1053	130	1053	130	Non-English specification	
Account	Foley Hoag LLP		1812	2,520	1812	2,520	For filing a request for reexamination	
Name The Director is a	uthorized to: (check all that a	pply)	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
☐ Charge any ad	ndicated below 🛮 Credit and ditional fee(s) during the pend	ency of this application	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
	ndicated below, except for th	e filing fee	1251	110	2251	55	Extension for reply within first month	
to the above-ident	fied deposit account.  FEE CALCULATION		1252	420	2252	210	Extension for reply within second month	
1. BASIC F	LING FEE		1253	950	2253	475	Extension for reply within third month	
Large Entity	Small Entity		1254	1,480	2254	740	Extension for reply within fourth	
	ee Fee <u>Fee Descriptic</u> ode (\$)	<u>n</u> Fee Paid	1255	2,010	2255	1,005	Extension for reply within fifth month	
	001 385 Utility filing fee	Teeralu	1401	330	2401	165	Notice of Appeal	
	002 170 Design filing fe		1402	330	2402	165	Filing a brief in support of an appeal	
	003 265 Plant filing fee	· -	1403	290	2403	145	Request for oral hearing	
1004 770 2	004 385 Reissue filing fo		1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1005 160 2	005 80 Provisional fillir	g fee	1452	110	2452	55	Petition to revive – unavoidable	
	SUBTOTAL (1)	(\$)0	1453	1,330	2453	665	Petition to revive – unintentional	
			1501	1,330	2501	665	Utility issue fee (or reissue)	
2. EXTRA CLA	IM FEES FOR UTILITY A	ND REISSUE	1502	480	2502	240	Design issue fee	
		Fee from Fee	1503	640	2503	320	Plant issue fee	
Total Claims	-20 ** = 0 X	below Paid = 0	1460	130	1460	130	Petitions to the Commissioner	
Independent			1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
Claims	-3 ** = 0 X	= 0	1806	180	1806	180	Submission of Information Disclosure Stmt	180
Multiple Dependent Large Entity	X Small Entity	= 0	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
Fee Fee	Fee Fee		1809	770	2809	385	Filing a submission after final rejection	<del></del>
Code (\$)	Code (\$) Fee Descr	<del></del>					(37 ČFR § 1.129(a))	
1202 18 1201 86		excess of 20	1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1201 86		nt claims in excess of 3 pendent claim, if not paid						$\vdash$
	** Paiceup	independent claims over	1801	770	2801	385	Request for Continued Examination (RCE)	1
1204 86	2204 43 original par		1802	900 l	1802	900	Request for expedited examination of a design application	
1205 18	2205 9 Neissue over origin		Other fe	e (specil	fy)			
	SUBTOTAL (2)	(\$) 0	*Reduc	ed by Re	asic Filing	ı Fee Ps	aid SUBTOTAL (3) (\$) 180	
**or number previo	usly paid, if greater; For Reissues,	see above		o, b	AUTO T HITTE	, , 00 170	(3) 100	

SUBMITTED BY		- <del></del> -	.1		Com	plete (if applicable)
Name (Print/Type)	Kevin A. Oliver		Registration No. (Ettorgey/Agent)	42,049	Telephone	(617) 832-1241
Signature		Nim	MARWETT		Date	December 17, 2003

WARNING: Information on the form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



In re Application of:

Bonabeau

Application No: 09/801,130

Filed: March 7, 2001

Methods and Systems for For:

Generating Business Models

12-18-3 12-18

Art Unit: 3623

Examiner: Irshadullah, M.



Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the aboveidentified patent application. Copies of the patents and publications are enclosed.

## **REMARKS**

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed:

	(1)	within three (3) months of the <b>filing date</b> of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the <b>date of entry of the national stage</b> as set forth in 37 C.F.R. 1.491 in an international application or before the mailing of the <b>first Office Action</b> on the merits, or before the mailing of a <b>first Office Action</b> after the filing of a request for continued examination under 37 C.F.R. 1.114; or
$\boxtimes$	(2)	after the period defined in (1) but before the mailing date of a <b>final action</b> or a <b>notice of allowance</b> under 37 C.F.R. 1.311, and
		the requisite Statement is below, OR
12/22/2003 WABDELR1	⊠ 00000027	the requisite fee under 37 C.F.R. 1.17(p), namely \$180.00, is included herein, or 061448 09801130

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	(3)	after the mailing date of a final action or notice of allowance but before the payment of the issue fee, AND
		the requisite Statement is below, AND
		the requisite petition fee under 37 C.F.R. 1.17(p), namely \$180.00 is included herein.
	It is re	spectfully requested that each of the patents and publications listed on the attached Form
PTO-1	1449, and	d other information contained herein, be made of record in this application.

## **STATEMENT**

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that [check the appropriate space only if either (2) or (3) is checked on the previous page <u>and</u> the Statement is required]:

- 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement; or
- 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

Date: December 17, 2003

Reg. No. 42,049

Tel. No. (617) 832-1241 Fax No. (617) 832-7000 Attorney/Agent for Applicant(s)

Foley Hoag LLP

155 Seaport Boulevard

Boston, Massachusetts 02210-2600



FOLEY HOAG LLP

PAGE 34/34

PTO/SB/08a (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known Substitute for form 1449A/PTO 09/801,130 Application Number INFORMATION DISCLOSURE March 7, 2001 Fiting Date STATEMENT BY APPLICANT First Named Inventor Bonabeau 3623 Art Unit (Use as many sheets as necessary) Irshadullah, M. Examiner Name ICO-007.01 Sheet of 1 Attorney Docket Number

U.S. PATENT DOCUMENTS						
Examiner Initials '	Cite No.1	Document Number  Number - Kind Code <sup>2</sup> (& Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Calumns, Linea, Where Relevant Passages or Relevant Figures Appear	
	AA	US-8,125,351	09/26/2000	Kauffman		

·	FOREIGN PATENT DOCUMENTS						
Examiner initials*	Cite No.	Foreign Patent Document  Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	۳	
	AB	WO 00/02136	01-13- 2000	Bios Group LP			

Examiner Signature	Date Considered	

"EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant. "Applicant's unique citation designation number (options). "See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. "Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). "For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the social number of the patent document. "Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 18 if possible." Applicant is to place a check mark here if English language

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer. U.S. Patent and Tradement Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DIO NOT SEND FIEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

. If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.